

# Superpixel-based Graph Convolutional Network for Semantic Segmentation

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## Abstract

The encoder-decoder structured Convolutional Networks(CNNs) are a general approach for semantic segmentation tasks. However, it is hard to capture precise boundaries of objects, and the boundary information loss is inevitable since the input image is contracted to small-sized features through the encoder and then extended as the original size through the decoder. To tackle this problem, we propose a whole new approach, Superpixel-based Graph Convolutional Network, not containing any pooling layer thus, preserving the shape of a target object. At first, the superpixel algorithm segments an image into plausible clusters with RGB values of pixels. Then, Graph Convolutional Networks(GCNs) predict an assigned label of each superpixel, regarding them as a node of a graph. In other words, our GCN framework conducts a node prediction for each image converted as a superpixel graph. We utilize two graph convolutions to capture the semantics of nodes, spectral convolutions with topology adaptiveness and spatial convolutions with weighted node sampling. Also, we propose a novel loss function, Superpixel Penalty Loss, to address imbalance problems of the classes and the size of superpixels. Experiments are performed on the UAVid dataset, with has ambiguous boundaries in their target objects. Although the proposed method does not reach the state-of-the-art performance, it shows comparable ability to classify each pixel's label and expands the concept of the GCN combined with superpixel into semantic segmentation.

## 1. Introduction

Deep networks have achieved significant advancements in semantic segmentation on account of recent improvements in deep learning. U-Net[16] uses skip connections to take advantage of multiscale information as a representation of the encoder-decoder architecture. U-Net's promising

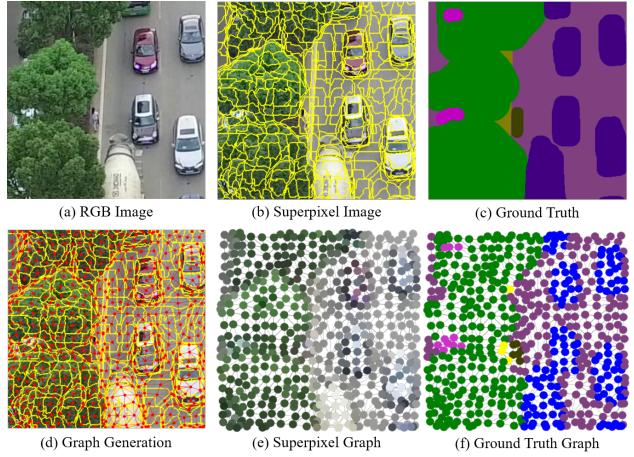


Figure 1. Example of graph generation via superpixel. Each superpixel cluster is treated as a node of a graph. Each node represent a diminutive region containing color and spatial information and its class labels.

performance was due to its ability to improve feature maps by mixing low-level detail information with high-level semantic information through skip connections.

However, the encoder-decoder architecture's[22, 25, 20] shortcomings becomes apparent. When a filter is applied to a group of local pixels, the destination pixel's value is calculated utilizing just the pixel and its surroundings. This implies that only peripheral information may be used to acquire further information, which may introduce bias due to the lack of long-range information. Larger convolution filters or deeper networks with more convolution layers are two naïve attempts to alleviate the issue. However, the processing burden increases, and the results do not improve much. Furthermore, most encoder-decoder models overlook the spatial connection between objects when extracting deep features via convolutional and pooling layers, which contain essential information to assign the right value. Pro-

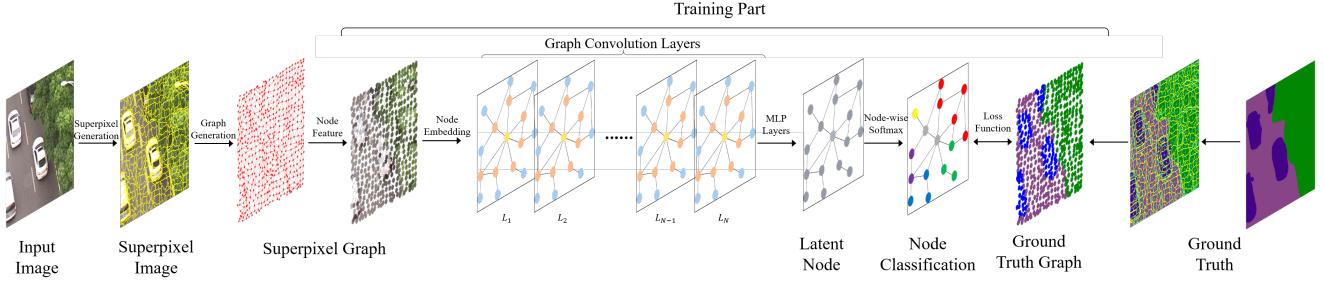


Figure 2. All the train process are conducted under the graph state. Graphs are generated as a preprocessing with superpixel map. Also, same superpixel mapping are applied for the ground truth image to generate ground truth nodes.

cessing high-resolution pictures, which include complex objects and spatial interactions, will exacerbate this issue.

To tackle the problem, even under the discontinuous distribution of classes and pixel values between objects, graphs can express the necessary information between pixels in terms of reciprocal relations. The graph neural network may integrate the local data with the selected features by augmenting the pixel information such as RGB values and their geometries. The objects and their surrounding information can create a graph, and the nodes of the graph contain color and spatial information of a group of pixels, whereas the edges express the spatial relationship between the objects. It enables to communicate characteristics across adjacent nodes. While the model transfers necessary information to other nodes, the characteristics of each node can be modified in the GNN. It compensates the chronic problem of CNN that lacks of structural information and resolves the dilemma between expanding the receptive field while maintaining useful features. Superpixels are used in computer vision to compress visual data that have the similar characteristics surrounding other pixels. Superpixels frequently used in semantic segmentation issues to efficiently minimize the amount of features of the image for further processing. Numerous approaches have been conducted to effectively divide the superpixels on the conventional grid. Our important discovery is that superpixels may be linked to a standard image grid. We created a train-based technique of Superpixel Graph Neural Network for Semantic Segmentation.

A graph is a collection of nodes and edges. Existing graphs can be described with two different learning frameworks[13]: transductive learning and inductive learning. During the training and prediction stages of transductive learning, the edges and the nodes stay unchanged. Thus, it does not allow for generalization to nodes and edges that are not visible. In comparison, inductive learning begins with the learning of a model across a training network with certain graph attributes. The trained model can approximate unknown features that may be linked in the training

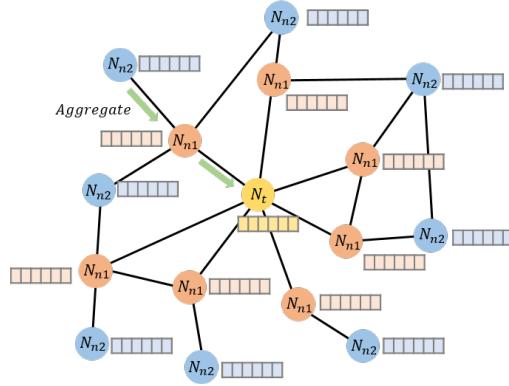


Figure 3. Each convolutional layer aggregate neighborhood node's embedding information  $N_n, k$  to the target node  $N_t$ .

graph.

Graph Networks (GNNs) broaden networks with a wide range of applications, from simple and monotonous to irregular and unbalanced tasks by graph convolution random graphs, and have showed promise in a variety of fields, including computer vision.

In this paper, GNN is combined with superpixel and provides an efficient operation to integrate information from nearby nodes using 2D convolutions with distinct filter kernels given the feature information generated by the superpixel method

## 2. Related Work

### 2.1. Semantic Segmentation

Many vision-based applications, including autonomous driving, remote sensing, and medical image analysis, benefit from semantic segmentation since it can predict semantic category for the entire pixel data in images. Information images contain varies in a wide range, sometimes it comes with small or big objects, distant or near objects, and within or outside object boundaries, accurately anticipating label for each pixel is difficult. [22]

Scene segmentation[26, 27, 21] is a difficult but important endeavor to divide the categories to each pixel in scene pictures. It's vital to enhance feature similarity between objects while maintaining feature differentiation amongst them. Due to its huge resolution, precise segmentation is a prominent issue. It is used in a variety of design tasks, including town development and automobile surveillance. Numerous academics recently looked at the difficult challenge of segmenting Cityscape images using different deep learning models. Deep Convolutional Neural Networks are used in most of the Fully Convolutional Networks, despite the fact that the latter is intended to extract local features and lacks the capacity to represent long-range contextual information. Transformer-based Neural Networks[18] have been popular in numerous different tasks including semantic segmentation. Transformer might better recognize long-range relationships thanks to its non-convolutional structure and attention modules.

## 2.2. Superpixel

Superpixel is one of the commonly used approach to segment an image into a number of clusters by grouping pixels into perceptually meaningful atomic regions. SLIC[1] adopts  $k$ -means clustering to group nearest pixels w.r.t both color and spatial distance, by converting CIELab color space. SSNI[7] first suggested deep learning based superpixel method, defining soft-association map, also called differentiable SLIC. SFCN[24] extend the concept of SSNI by adopting FCN[11] as prior step before obtaining superpixel association map. Also, LSN-Net[28] suggested non-iterative lifelong learning strategy with unsupervised CNN, while reducing computation complexity.

Unlike semantic segmentation, superpixel does not require significant contraction of image. Therefore, superpixel is suitable to maintain a boundary information of relatively small object or ambiguous edges, which are easily disregarded in encoder-decoder structure. In this paper, superpixel is used as a preprocessing of our framework to convert the grid-structured image into graph-structured image.

## 3. Preliminaries

### 3.1. Graph Neural Network

Graph Neural Network(GNN) is a network that has been applied to graph-structured data such as road networks, protein-protein interaction, and social networks. Within various kinds of social and physical phenomena that can be interpreted with the graph structure, GNN efficiently captures the relationships between nodes and edges using their given attributes. To update the state of each node and to output the desired feature from a graph, GNN mainly adopts convolutional operation, which shares the same properties with CNN such as local connectivity, learnable filters, and

use of multi-layer. Graph Convolutional Network(GCN) can be categorized by a spectral and spatial convolutional network.

### 3.2. Spectral Graph Convolution

Spectral graph convolution[8, 2, 3] uses spectral filters based on a Fourier transform of graph signal, an eigen-decomposition of graph Laplacian matrix. However, it requires an entire and fixed graph since the graph Laplacian depends on the overall graph structure. Thus the model cannot be adapted on newly generated nodes which means the change of the original graph structure. To overcome its limitation, modification of previous networks such as TAGCN, SGCN[23], and APPNP[9] have been proposed, which are adaptive to the topology of arbitrary graph and have lower computational complexity.

### 3.3. Spatial Graph Convolution

As opposed to this transductive learning, spatial graph convolution is inductive learning which can be generalized to previously unseen data. Spatial graph convolution[14] achieves its inductiveness by convolving graph with spatial filters while aggregating information of locally connected neighborhoods. Spatai convolutional networks learn a node embedding function only reflecting the node's local neighborhood instead of referring entire graph, the model successfully works on unseen graphs or continuous changes in the graph. GraphSAGE[5] randomly samples target nodes and their fixed number of neighborhoods. Then these sampled subgraphs go through a learnable aggregator sharing the same weights. Attention based spatial convolutions, such as GAT[19], AGNN[17], have been also proposed to dynamically adjust weights of neighbor nodes.

## 4. Proposed Method

### 4.1. Superpixel Graph Generation

Prior to the graph generation, superpixel segmentation is conducted as a preprocessing. Each superpixel represents a group of pixels containing similar spatial and color information. Superpixel is very efficient method to segments region sensitively, retaining boundary well, while each cluster includes information about original image,  $C_{mean}$  and  $P_{mean}$ ,

$$C_{j,mean} = \frac{\sum_i^{N_j} (R, G, B)_i}{N_{j,pixel}} \quad (1)$$

$$P_{j,mean} = \frac{\sum_i^{N_j} (x, y)_i}{N_{j,pixel}}, \quad (2)$$

where  $N_{j,pixel}$  is the number of pixels in  $j$ -th cluster.

Each superpixel cluster is allocated as a node  $V$  of a graph  $G = (V, E)$ , which have 5-dimension features in every nodes  $\mathbf{h}_{i,j} = [C_{mean}|P_{mean}]$ . The undirected edges

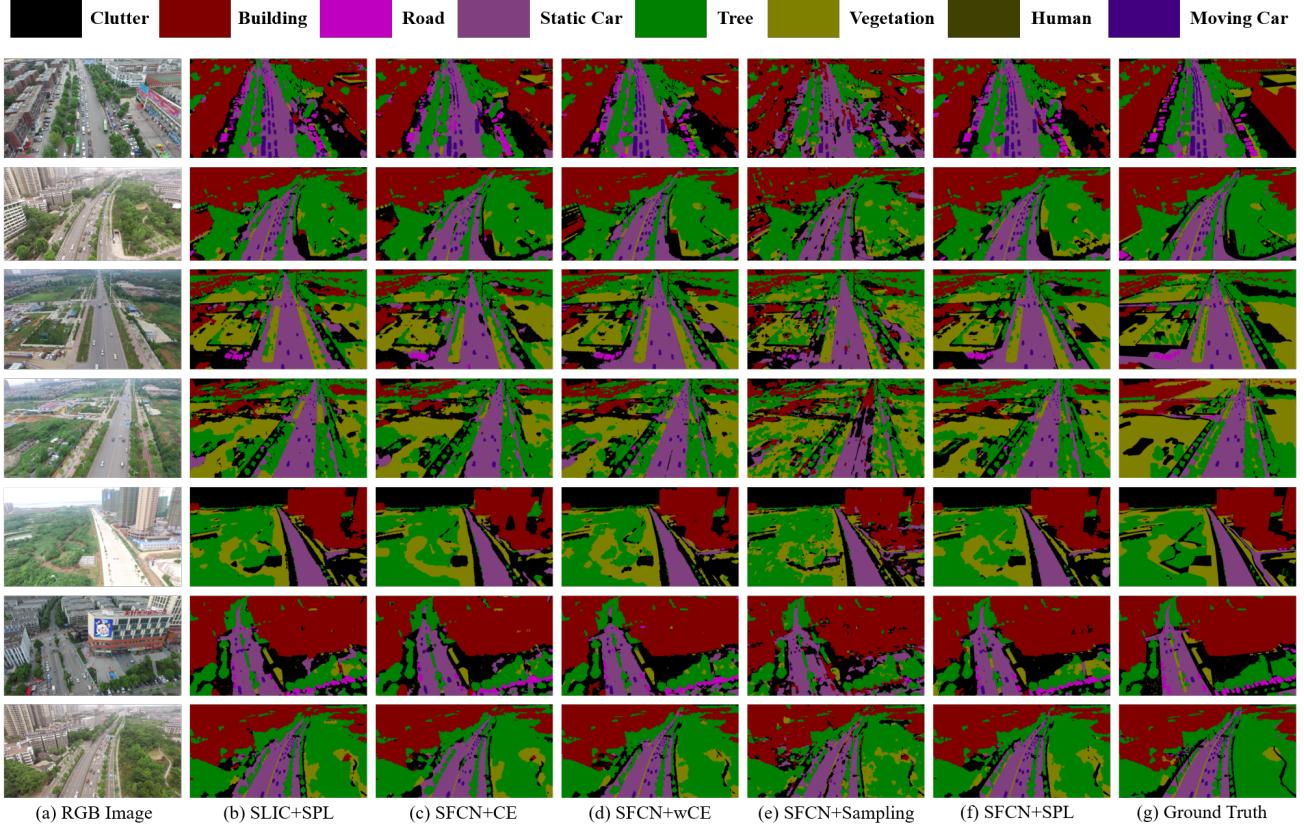


Figure 4. The example results of our method. (a) and (g) are the RGB images from UaVid dataset and the corresponding ground truth annotation. (b), (c), (d), (e), and (f) are the predicted segmentation maps of GCN with SLIC+SPL, SFCN+CE, SFCN+wCE, SFCN+Sampling, and SFCN+SPL, respectively.

$E$  in a graph are simply generated as adjacent relations between neighborhood nodes.

In this paper, we adopt SFCN[24] rather than SLIC [1] to obtain more precise boundaries to distinguish adhering objects.

#### 4.2. Superpixel Penalty Loss Function

In this paper, we propose a novel *Superpixel penalty loss* which is designed to address two main problems derived from Superpixel graph generation and node classification. The first is the extreme imbalance between node classes (e.g., Background versus Person) in a graph. In this case, directly training a GNN classifier with a graph would underrepresent samples from those minority classes and result in sub-optimal performance. The second is that Superpixels have a different number of pixels in each Superpixel, but when it generated as a node in a graph, they do not carry the information about the amount of pixels in each node. To mitigate these problems, we introduce *Superpixel penalty loss* that adds the class balanced and Superpixel weights to cross-entropy loss (CE) for node classification. The class

balanced CE in *Superpixel penalty loss* is defined as:

$$l_k = -w_k y_k \cdot \log \frac{\exp(x_{k,y_k})}{\sum_{c=1}^C \exp(x_{k,c})} \quad (3)$$

where  $x$  is the input,  $y$  is the target,  $w$  is a class balanced weight, and  $C$  is the number of class.  $w_k$  can be calculated as

$$w_k = \frac{N - n_k}{N} \quad (4)$$

where  $N$  is total samples and  $n$  is the number of samples in each class. Following the above equation, the class balanced weight gives a more penalty to rare samples than others. After calculating losses of class balanced CE in each node, we apply the Superpixel weights to the losses:

$$SPL_k = s_k \cdot l_k \quad (5)$$

where  $SPL_k$  is *Superpixel penalty loss* in each node  $k$ .  $s_k$  is a superpixel weight in each node  $k$ , as follow

$$s_k = -\frac{1 + \epsilon}{\log p_k + \epsilon} \quad (6)$$

Method	Clutter	Building	Road	Static Car	Tree	Vegetation	Human	Moving Car	mIoU
U-Net[16]	40.3	70.7	63.5	11.9	67.2	35.5	00.0	47.5	40.9
BiSeNet*[25]	64.7	85.7	61.1	63.4	78.3	77.3	17.5	48.6	61.5
BANet*[22]	66.6	85.4	80.7	52.8	78.9	62.1	21.0	69.3	64.6
Ours (SPL)	50.5	79.9	64.9	35.1	67.4	48.4	8.4	40.9	49.4

Table 1. The experimental results on the UAVid dataset. Asterisks of BANet[22] and BiSeNet[25] means the result mentioned in each paper.

where  $p_k$  is the normalized number of pixels in a Superpixel generated into each node  $k$ .  $\epsilon$  is the constant value for numerical stability to avoid zero division error, set as  $10^{-5}$ . We impose a greater penalty for nodes generated by Superpixels containing more pixels than other nodes. Finally, *Superpixel penalty loss* is calculated by

$$SPL = \frac{1}{N}([l_1, \dots, l_N]^T \cdot [s_1, \dots, s_N]) \quad (7)$$

### 4.3. Spectral Approach

#### 4.3.1 Topology Adaptive Graph Convolution Layer

*Topology Adaptive Graph Convolution Network*(TAGCN)[4] is one of the simplest convolutional layer for the graph-structured data. Based on the graph convolutional network(GCN)[8], TAGCN can adapt higher-order relations between  $K$ -hops nodes. Each  $k \in \{1, 2, \dots, K\}$  means a  $k$ -size learnable graph convolution filter, likewise a squared convolution filter of grid structured data. An output embedding of a vertex is the weighted sum of these filter's outputs.

$$X' = \sum_{k=0}^K (D^{-\frac{1}{2}} A D^{-\frac{1}{2}})^k X \Theta_k, \quad (8)$$

where  $A$  denotes the adjacency matrix,  $D_{ii} = \sum_{j=0} A_{ij}$  is diagonal degree matrix,  $\Theta_k$  denotes the linear weights to sum the results of different hops together. TAGCN layer extracts both vertex features and correlation strength between vertices.

#### 4.3.2 Multi-layer Loss

As the model gets deeper, GCN suffers from over-smoothing problem[10, 15], which is a main obstacle for GCN to have richer representations. Since GCN aggregates the features of adjacent nodes inherently, stacking more layers lead to aggregating more information through further hops. Thus, it results in convergence of node representation, which is called over-smoothing, and it is why many research on GCN have shallow networks. However, more layers still achieve better performance, we apply the multi-layer loss to TAGCN to handle the over-smoothing problem

and to make deep GCN.

$$L_{overall} = \frac{1}{3}(SPL_{h_4} + SPL_{h_8} + SPL_{h_{12}}) \quad (9)$$

where  $SPL_{h_i}$  denotes superpixel penalty loss at  $i^{th}$  hidden layer, which will be further explained later. Proposed multi-layer loss is the average of loss computed at intermediate convolutional layers after passing each MLP layers. In our experiment, we extract intermediate loss from 4th, 8th, 12th graph convolutional layers.

### 4.4. Spatial Approach

#### 4.4.1 GraphSAGE with Weighted Node Sampling

To handle the class imbalance problem and to enhance the generality of networks, we adopt the GraphSAGE networks, which sample subgraphs and aggregate the node information. Unlike other node classification is conducted on a single graph, our model is applied to multiple graphs at the same time by constructing one batch graph from multiple input images while maintaining its inductiveness. Therefore, we first construct one large graph from multiple graphs without having any connection between each graph. Then we sample target nodes with different weight from this batch graph. Also, we sample the fixed number of neighbor nodes in each layer, not the entire neighbor nodes. With these approach, GraphSAGE networks have the ability to get more subgraphs on smaller class as well as encouraging generality of model by dropping some edges between nodes.

## 5. Experimental Result

### 5.1. Dataset

In this study, extensive experiments were conducted to evaluate the proposed method for UAVid dataset[12]. The UAVid dataset consists of 42 high-resolution sequential images in total capturing the urban scenes from an unmanned aerial vehicle(UAV), with 8 classes. Each sequence has 10 images. In our experiments, the sequential data would be considered as an individual data. Moreover, The sequence are split into 20 sequence for train, 7 sequence for validation, and 15 sequence for test. However, the test subset does not include ground truth data. So we use validation

Method	Clutter	Building	Road	Static Car	Tree	Vegetation	Human	Moving Car	mIoU
SFCN + SAMPLING	33.9	64.6	45.0	5.7	58.2	37.4	0.0	10.3	31.9
SLIC + SPL	48.5	78.4	62.7	30.3	67.3	47.3	4.7	32.9	46.6
SFCN + CE	46.2	76.8	57.9	26.8	65.1	45.0	5.6	28.4	44.0
SFCN + WCE	50.1	79.4	63.9	38.8	67.3	47.9	8.5	35.3	48.9
SFCN + SPL	50.5	79.9	64.9	35.1	67.4	48.4	8.4	40.9	49.4

Table 2. Ablation study for various loss function and training strategy on the UAVid dataset.

Method	Clutter	Building	Road	Static Car	Tree	Vegetation	Human	Moving Car	mIoU
APPNP[9]	23.6	48.8	35.8	1.2	47.7	25.7	0.0	7.0	23.7
SGCN[23]	26.5	54.2	32.6	5.8	50.8	30.1	0.0	5.1	25.6
CHEB[3]	12.4	48.4	24.0	6.3	51.2	31.0	0.0	12.8	23.3
GraphSAGE[5]	30.4	61.8	43.7	18.3	57.5	35.3	1.2	21.1	33.7
TAGCN[4] (Ours)	42.5	73.9	53.9	23.9	64.6	42.7	1.4	21.3	40.5

Table 3. Ablation study for various convolutional methods with same architecture on the UAVid dataset, with 10 layers and 256 channels.

subset as test subset. Validation data for training will be obtained by randomly splitting from training data for every epoch. Therefore, the experiments are conducted on 200 images for training with randomly chosen 20% validation subset, and 70 images for test, each of size  $4096 \times 2160$  or  $3840 \times 2160$ . Also, we modified train subset images into  $2048 \times 2048$  cropped image, allowing overlapping.

## 5.2. Implementation

We are conducting two types of experiment; Graph-wise and Node Sampling. Both methods use same graph dataset which is made by preprocessing containing node features, edge relations, node labels. As each image is converted to corresponding graph, graphs can allocated batch being regarded as images. A GNN model train the node features considering the edges around them, and final node embedding is obtained. This method is simply same with semantic segmentation, just replacing encoder-decoder architecture to graph network.

On the other hand, we have tried to adopt Node Sampling. Entire dataset is regarded as a single large graph, and target nodes are randomly sampled to train as same number as batch size. Although this might not a efficient way to train when it comes to the time cost, it allows model to oversample the scarce classes giving more opportunity to be trained them. The training procedure adopts early stopping strategy.

For Graph-Wise model, 12 TAGCN layers with 256 channels are used. For Node Sampling model, Graph Sage is adopted as a convolutional filter, with same number of layer and channel. Initial learning rate is  $lr = 0.001$  with multi-step learning scheduler. Adam optimizer are used with decay 0.0001. All nodes are trained for the Graph-Wise model with Dropout rate 0.5, while only three neighbor node are sampled for all layers in the node sampler.

## 5.3. Evaluation Metric

Node accuracy[6] is used in Node Classification tasks of GNNs. However, although the proposed approach adopts GNNs, the node accuracy does not reflect perfectly the performance of semantic segmentation. Instead, mIoU is mainly used to evaluate the performance of given networks. The Jaccard Index(mIoU) is the area of overlap between the predicted segmentation and the ground truth divided by the area of union between the predicted segmentation and the ground truth. To calculate the intersection and union, we invert graph to image again. In our experiments, even if a model could get further node accuracy, the mIoU score does not increased proportionally.

## 5.4. Result Analysis

To verify the contribution of *SPL*, SFCN[24], Node Sampling, and TAGCN[4], we have conducted several experiments. The results are shown in Table 2 and Table 3.

In terms of superpixel methods, SFCN outperforms SLIC[1]. Although node accuracy of SLIC was higher than SFCN in our SGCN, the overall mIoU score was poor since SLIC is not able to capture the precise boundaries of objects. The SPL also outweigh other loss function. Weighted cross entropy loss considers the imbalance in the number of class. In addition to that, SPL reflects the size of each superpixel. Also, we expected Node Sampling to obtain enhanced results especially for small objects such as human and car. However, the results show that Node Sampling is not helpful to segmentation, so Graph-wise method is selected.

On the other hand, we have adopted various convolutional filters such as APPNP[9], SGCN[23], CHEBConv[3], GraphSAGE[5], and TAGCN[4]. Among the various convolutional network and loss functions, our final frame work

achieved 49.4% of mIoU score, as shown in Table 2 and Table 3. Moreover, the final was better than U-Net[16]. However, we couldn't reach the state-of-the-art performance such as BANet[22] and BiSeNet[25], as shown in Table 1. More experiments are necessary to improve the overall performance and comparison for other semantic segmentation methods such as HRNet[20] and ShelfNet[29].

## 6. Conclusion

Superpixel graph convolutional network can be applied for semantic segmentation successfully. However, its performance couldn't reach the state-of-the-art. We suppose that there might be two reasons for the limitation. At first, we adopt graph convolutional networks with simple structure, including only batch normalization and ReLU, without any functional module and blocks. Secondly, there are only few nodes for some classes. We are looking forward to developing improved structure to solve these problems in the future. Also, superpixel procedure is used as data preprocessing in the proposed method. We are planning to insert the superpixel training procedure to the entire architecture, achieving end-to-end Superpixel-based Graph Convolutional Network model.

Although the performance of proposed approach has some limitations, our achievement is very meaningful in this field. It is a novel methodology expand the concept of Graph-based machine learning into semantic segmentation tasks. There are numerous possibilities to be improved with various auxiliary function. Both research area, Superpixel and Graph Neural Networks, will contribute to Superpixel-based Graph Neural Network, while state-of-the-art methods in each field can be adopted easily for our approach. In addition, the proposed method can be used on various dataset and field, including medical image, remote sensing, autonomous driving, and manufacturing.

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